

TRANSMITTAL LETTER TO THE UNITED STATES

DESIGNATED/ELECTED OFFICE (DO/EO/US)

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/588501

INTERNATIONAL APPLICATION NO.

PCT/JP04/16532

INTERNATIONAL FILING DATE

November 8, 2004

PRIORITY DATE CLAIMED

February 6, 2004

TITLE OF INVENTION


INFORMATION PROCESSING DEVICE, INFORMATION PROCESSING METHOD,
PROGRAM, AND DATA STRUCTURE

APPLICANT(S) FOR DO/EO/US

Motoki KATO

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
 2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
 3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
 4. ☐ The US has been elected (Article 31).
 5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
 6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
 7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
 8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
 9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
 - a. ☒ If the declaration is in a language other than the English language, it is accompanied by an English translation. The translation is accurate (37 CFR 1.69)
 10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
 11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
 12. ☒ A copy of the International Search Report (PCT/ISA/210).
- Items 13 to 23 below concern document(s) or information included:**
13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
 - a. ☒ PTO-1449
 - b. ☒ Cited References 9
 - c. ☐ Statement of Relevancy
 - d. ☐ List of Related Cases.
 14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
 15. ☐ A **FIRST** preliminary amendment.
 16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
 17. ☒ An Application Data Sheet under 37 CFR 1.76.
 18. ☐ A substitute specification.
 19. ☒ A power of attorney and/or change of address letter.
 20. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
 21. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
 22. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
 23. ☒ Other items or information: Notice of Priority/PCT/IB/304/PCT/IB/308/Drawings (36 sheets)

| | | | | | |
|--|--------------|--|--|---|----|
| U.S. APPLICATION NO. (Include Fee 87 CFR 1.5) 10/388501 | | INTERNATIONAL APPLICATION NO. PCT/JP04/16532 | | ATTORNEY'S DOCKET NUMBER 293663US8PCT | |
| The following fees are submitted: | | | | CALCULATIONS PTO USE ONLY | |
| 24. <input checked="" type="checkbox"/> Basic national fee..... \$300 | | | | \$300.00 | |
| 25. <input checked="" type="checkbox"/> Examination fee If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0 All other situations..... \$200 | | | | \$200.00 | |
| 26. <input checked="" type="checkbox"/> Search fee If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB \$400 All other situations..... \$500 | | | | \$400.00 | |
| TOTAL OF 24, 25 AND 26 = | | | | \$900.00 | |
| <input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole) | RATE | | |
| 163 - 100 = | 63 / 50 = | 2 | x \$250.00 | \$500.00 | |
| <input type="checkbox"/> Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). | | | | \$ | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| Total claims | 23 - 20 = | 3 | x \$50.00 | \$150.00 | |
| Independent claims | 14 - 3 = | 11 | x \$200.00 | \$2,200.00 | |
| MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00 | | | | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$3,750.00 | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. | | | | | |
| SUBTOTAL = | | | | \$3,750.00 | |
| <input type="checkbox"/> Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | \$3,750.00 | |
| <input type="checkbox"/> Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | \$ | |
| <input type="checkbox"/> Petition fee of \$1,500.00 for Petition to Revive (37 CFR 1.137 (b)). | | | | \$ | |
| TOTAL FEES ENCLOSED = | | | | \$3,750.00 | |
| | | | | Amount to be refunded: | \$ |
| | | | | Amount to be charged: | \$ |
| a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 15-0030 in the amount of \$_____ to cover the above fees. c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0030 . A duplicate copy of this sheet is enclosed. d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: | | | | | |
| CUSTOMER NUMBER 22850 Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 1/06) | | | SIGNATURE  Bradley D. Lytle Corwin P. Umbach, Ph.D. NAME 40,073 Registration No. 40,211 REGISTRATION NUMBER | | |